



PATENT
450100-03296

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

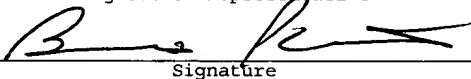
Applicants : Yoshiyuki KUNITO et al.
Serial No. : 09/894,472
Filed : June 27, 2001
For : DATA CONVERTER APPARATUS AND METHOD, DATA
TRANSMISSION/RECEPTION APPARATUS AND METHOD,
AND NETWORK SYSTEM
Art Unit : 2661

745 Fifth Avenue
New York, New York 10151
Tel. (212) 588-0800

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Assistant Commissioner for Patents
Washington, D.C. 20231, on October 11, 2001

Bruno Polito, Reg. No. 38,580

Name of Applicant, Assignee or
Registered Representative



Signature

October 11, 2001

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File
Missing Parts of Application" (form PTO-1533) dated August 20,
2001 on the above application, a Declaration duly signed by the
inventors, and a check in the amount of the required \$130.00
surcharge. By separate cover, we are filing the Assignment and
the \$40.00 fee for recording same.

PATENT
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Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

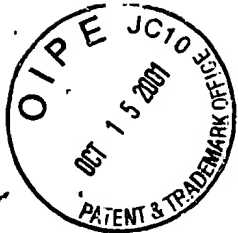
Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: 

Bruno Polito
Reg. No. 38,580
Tel. (212) 588-0800

Enclosure



Receipt

PATENT
450100-03296

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

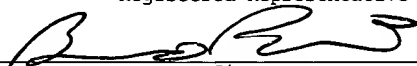
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Name of Applicant, Assignee or
Registered Representative



Signature

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REQUEST FOR CORRECTED FILING RECEIPT

Application Processing Division
Customer Correction Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a Request to correct the Filing Receipt issued
in the above-identified application.

Under Applicant(s):

Please insert the fourth applicant's name and residence

--YUTAKA MIYOSHI, KANAGAWA, JAPAN--.

PATENT
450100-03296


The foregoing changes are needed to correct inadvertent errors, as evidenced by the Declaration submitted with the patent application. A copy of the Filing Receipt with the requested correction(s) noted thereon in red ink is also enclosed.

The issuance of a corrected Filing Receipt is respectfully requested.

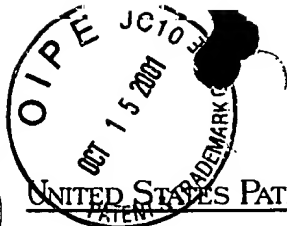
Please charge any fees required for this correction or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: 
Bruno Polito
Reg. No. 38,530
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Enclosure



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/894,472 | 06/27/2001 | 2661 | 1212 | 450100-03296 | 16 | 39 | 5 |

CONFIRMATION NO. 5943

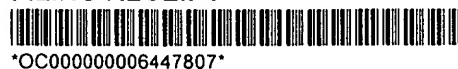
William S. Frommer, Esq.
FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, NY 10151

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NOV 02 2001

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FILING RECEIPT



OC00000006447807

Date Mailed: 08/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yoshiyuki Kunito, Kanagawa, JAPAN;
Atsushi Okamori, Tokyo, JAPAN;
Ryusuke Sawatari, Kanagawa, JAPAN;
YUTAKA MIYOSHI, KANAGAWA, JAPAN

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-197405 06/29/2000

If Required, Foreign Filing License Granted 08/17/2001

DOCKETED

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

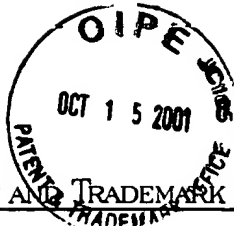
Data converter apparatus and method, data transmission/reception apparatus and method, and network system

Preliminary Class

FROMMER, LAWRENCE
& HAUG, LLP
AUG 22 A 10:55



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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/894,472 | 06/27/2001 | Yoshiyuki Kunito | 450100-03296 |

CONFIRMATION NO. 5943

FORMALITIES LETTER



OC000000006447808

William S. Frommer, Esq.
FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, NY 10151

Date Mailed: 08/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/17/2001 HTECKLU1 00000031 09894472

01 FC:105

130.00 OP